



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/635,696 Confirmation No.: 8343  
Applicant: Rapin, *et al.*  
Filed: August 5, 2003  
TC/A.AU.: 1632  
Examiner: Unassigned  
  
Docket No.: NEURO 100  
Customer No.: 20786  
Title: Tripeptide Derivatives for the Treatment of Neurodegenerative Diseases

Commissioner for Patents  
P. O. Box 1450  
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Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each reference is enclosed. The Examiner's attention is drawn to the following co-pending applications: U.S.S.N. 10/635,797; U.S.S.N. 10/635,805; and U.S.S.N. 10/635,808.

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Respectfully submitted,

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Tisha Hardrick

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**Complete if Known**

Application Number	10/635,696
Filing Date	August 5, 2003
First Named Inventor	Rapin, <i>et al.</i>
Group Art Unit	1632
Examiner Name	Unassigned
Attorney Docket Number	NEURO 100

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	AA	5,212,158	A	VANDAI	05-18-1993	
	AB	5,973,111	A	BEMIS, <i>et al.</i>	10-26-1999	
	AC	6,156,572	A	BELLAMKONDA, <i>et al.</i>	12-05-2000	
	AD	6,235,929	B1	POWERS	05-22-2001	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number	Kind Code <sup>2</sup> (if known)				
	AE	EP	0,316,218	A1	INORGAN, S.A.	05-17-1989		
	AF	EP	1,018,341	A	CALPIS Co., Ltd.	07-12-2000		
	AG	EP	1,231,279	A1	CALPIS Co., Ltd.	08-14-2002		
	AH	EP	0,446,706	A2, A3	MERCK PATENT GESELLSCHAFT	09-18-1991		
	AI	JP	04-005240 w/ PAJ abstract	A	TAISHO PHARMACEUT. Co.;	01-09-1992		
	AJ	WO	88/09604	A2	MEDICIS Corp.	12-15-1988		
	AK	WO	92/11850	A2	CORTEX PHARMA; GA. TECH. RES. INST.	07-23-1992		
	AL	WO	96/41638	A1	SANOFI WINTHROP	12-27-1996		
	AM	WO	98/14202	A1	AUCKLAND UNISERVICES, Ltd.	04-09-1998		
	AN	WO	01/28578	A2, A3	WRAIR	04-26-2001		
	AO	WO	01/34828	A1	CALPIS Co. Ltd.	05-17-2001		
	AP	WO	01/68114	A1	MONSANTO Co. (US)	09-20-2001		
	AQ	WO	02/062373	A2,A3	TELL PHARM. AG	08-15-2002		
	AR	WO	02/062828	A2,A3	TELL PHARM. AG	08-15-2002		

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Sheet <b>2</b> of <b>3</b>		Application Number	<b>10/635,696</b>
		Filing Date	<b>August 5, 2003</b>
		First Named Inventor	<b>Rapin, et al.</b>
		Group Art Unit	<b>1632</b>
		Examiner Name	<b>Unassigned</b>
		Attorney Docket Number	<b>NEURO 100</b>

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	BA	BEYER, H., and WALTER, W., <i>Handbook of Organic Chemistry</i> , by S. Hirzel Verlag, Stuttgart (English translation of the 22 <sup>nd</sup> edition of <i>Lehrbuch der Organischen Chemie</i> ) (1996), pp. 827-838.	
	BB	BLUNDELL, T.L., et al., "Knowledge-based protein modelling and design", <i>Eur. J. Biochem.</i> , 172:513-520 (1988).	
	BC	CHESSEBEUF, M., et al., "Rat liver epithelial cell cultures in a serum-free medium: primary cultures and derived cell lines expressing differentiated functions", <i>In Vitro</i> , 20(10):780-795 (1984).	
	BD	CLARK, M.C., et al., "Validation of the general purpose Tripos 5.2 force field", <i>J. Comp. Chem.</i> , 10(8):982-1012 (1999).	
	BE	DE POOTER, H., et al., "N-acylamino acids and peptides IV the synthesis of N-cinnamyl-, N-p-coumarinyl- and N-cafeoyl-glycine and -glycyl-L-phenylalanine", <i>Bull. Soc. Chim. Belg.</i> , 85(9):647-656 (1976). XP008015013.	
	BF	FADEN, A.I., et al., "Novel TRH analog improves motor and cognitive recovery after traumatic brain injury in rodents", <i>Amer. J. Physiology</i> 277(4, pt. 2):R1196-R1204 (1999), <i>Chem. Abstr.</i> 132:31033 XP002207513, XP008014976.	
	BG	FADEN, A.I., et al., "Structure-activity relationships of TRH analogs in rat spinal cord injury", <i>Brain Research</i> , 448:287-293 (1988). XP008015202.	
	BH	GASTEIGER, J., et al., "Iterative partial equalization of orbital electronegativity -- a rapid access to atomic charges", <i>Tetrahedron</i> , 36:3219-3238 (1980).	
	BI	HAGG, T., et al., "Delayed treatment with nerve growth factor reverses the apparent loss of cholinergic neurons after acute brain damage", <i>Exp. Neurol.</i> , 101:303-312 (1988).	
	BJ	JONES, D.T., et al., "A new approach to protein fold recognition", <i>Nature</i> , 358:86-89 (July 1992).	
	BK	JONES, D.T., "Protein secondary structure prediction based on position-specific scoring matrices", <i>J. Mol. Biol.</i> , 292(2):195-202 (1999).	
	BL	KANSY, M., et al. "Physicochemical High Throughput Screening: Parallel Artificial Membrane Permeation Assay in the Description of Passive Absorption Processes", <i>J. Med. Chem.</i> , 41(7):1007-1010 (March 26, 1998).	
	BM	KHUEBACHOVA, M., et al., "Mapping the C terminal epitope of the Alzheimer's disease specific antibody MN423", <i>J. Immunol. Methods (Elsevier Amsterdam, NL)</i> 262(1-2):205-215 (2002). XP004352190.	

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	CA	LASKOWSKI, R.A., et al., "PROCHECK: a program to check the stereochemical quality of protein structures", <i>J. Appl. Cryst.</i> , 26:283-291 (1993).	
	CB	LE PONCIN-LAFITTE, M., et al., "Sound-avoidance conditioning and a mathematical approach to the description of acquisition performance", <i>Math. Biosciences</i> , 59:249-268 (1982).	
	CC	LUCO, J.M., "Prediction of the brain-blood distribution of a large set of drugs from structurally derived descriptors using partial least-squares (PLS) modeling", <i>J. Chem. Inf. Comput. Sci.</i> , 39 (2):396-404 (1999)	
	CD	PARNETTI, L., et al., "Posatirelin for the treatment of late-onset Alzheimer's disease: a double-blind multicentre study vs citicoline and ascorbic acid", <i>Acta Neurol. Scand.</i> 92:135-140 (1995).	
	CE	VARON, S., et al., "Nerve Growth Factor in CNS Repair", <i>J. Neurotrama</i> , 11(5) (1994)	
	CF	WANG, R., et al., "SCORE: A new empirical method for estimating the binding affinity of a protein-ligand complex", <i>J. Mol. Model.</i> , 4:379-394 (1998).	
	CG	WEINER, S.J., et al., "A new force field for molecular mechanical simulation of nucleic acids and proteins", <i>J. Am. Chem. Soc.</i> , 106:765-784 (1984)	
	CH	WIESMAN, C., et al., "Crystal structure of nerve growth factor in complex with the ligand-binding domain of the TrkA receptor", <i>Nature</i> , 401:184 (September 9, 1999).	

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